



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: St. Goar et al.

Art Unit: 3732

Serial No.: 10/635,776

Examiner: Cary O'Conner

Filed

: August 5, 2003

Conf. No.: 1704

Title

: METHODS AND APPARATUS FOR CARDIAC VALVE REPAIR

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§1.97-1.98

Dear Sir:

Because this Supplemental Information Disclosure Statement is filed before the receipt of a First Office Action on the Merits for the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all information known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (1 page) is provided herewith in connection with the above-captioned application.

The documents listed on the Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed item is necessary.

Since the last Supplemental Information Disclosure Statement was filed on September 19, 2005, no additional pending U.S. and International Applications that have one or more common inventors and/or are commonly owned need be disclosed.

> CERTIFICATE OF MAILING BY FIRST CLASS MAIL I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

| | November 10, 2005 | |
|-----------------|-------------------|--|
| Date of Deposit | 111) | |
| | 14. U. | |
| Signature | | |
| | Karla Zavala | |

'Applicant: St. Goar et al.

Serial No.: 10/635,776 Filed: August 5, 2003

Page : 2 of 2

Attorney's Docket No.: 17315-002001 Supplemental Information Disclosure Statement

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.

Respectfully submitted,

Date: November 10, 2005

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| Substitute Form PTO-1449 NOV 1 4 2005 U.S. Department of Commerce Patent and Trademark Office | | | | Attorney's Docket No. 17315-002001 | | Application No 10/635,776 | | |
| List of Pate Communications for Applicant's Information Disclosure Statement | | | Applicant St. Goar et al. | | | | | |
| (37 CFR §1.98(b)) | | | | Filing Date August 5, 2003 | | Group Art Unit 3732 | | |
| | | | U.S. Patent | Documents | | • | | |
| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate | |
| | AA | 2005/0021056 | 1/27/05 | St. Goar et al. | | | | |

| | AD | 6269819 | 8/7/01 | Oz et al. | | | | |
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| | Foreig | n Patent Docur | ments or Pub | lished Foreign | Patent A | Application | าร | |
| Examiner | Desig. | Document | Publication | Country or | | | Translation | |
| Initial | ID | Number | Date | Patent Office | Class | Subclass | Yes | No |

St. Goar et al.

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None

| Other Documents (include Author, Title, Date, and Place of Publication) | | | | |
|---|--------|----------|--|--|
| Examiner | Desig. | | | |
| Initial | ID | Document | | |
| | | None | | |

| Examiner Signature | Date Considered | |
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